

Time base adjustment in a data processing device

The present invention relates to a method and data processing device for adjusting the timing or time base of a higher-rate stream of second data samples derived from a lower-rate stream of first data samples. In particular, the present invention relates to an interpolation filter device and method for time base adjustment, e.g., of an uplink data stream 5 in a Universal Mobile Telecommunications System (UMTS).

The UMTS standard requires time base adjustment of the uplink data stream from a mobile terminal or user equipment to a base station device or Node B device, as 10 defined for example in the 3GPP (3rd Generation Partnership Project) specification TS 25.133, v.3.2.0, chapter 7.

According to the time base adjustment required in the above 3GPP standard, starting from a predetermined point in time, all following "chips", which denote one symbol when referring to spreading code signals, have to be transmitted either earlier or later by a 15 time period corresponding to a quarter of a chip period. The procedure can occur at a maximum of once per 200ms. Besides the above mentioned 3GPP specification, further details can be gathered from the 3GPP specifications TS 25.214, v3.2.0, chapter 4.3.4, or TS 25.123, v3.2.0, chapter 8, or TS 25.224, v3.3.0, chapter 4.3.

Fig. 1 shows a schematic block diagram of a data processing device for 20 processing an in-phase component i_1 and a quadrature component q_1 in respective interpolation filters, e.g. Root-Raised-Cosine (RRC) filters 1 followed by respective digital-to-analog (D/A) converters 2. The UMTS standard requires time base adjustment of the uplink data stream in steps of a quarter of a chip, which corresponds to approximately 65.1ns. As this adjustment has to be carried out during a transmission, the interpolating or pulse- 25 shaping RRC filters 1 or any other suitable data processing device can be used for efficient implementation.

According to Fig. 1, the signals i_1 and q_1 are usually supplied to the pulse-shaping filter 1 at a chip rate or first data rate R_1 , for example $R_1=3.84\text{Mcps}$ (Mega chips per second). The chip sequence is indexed by k_1 . The pulse-shaping filter 1 is used for spectral

limitation of the transmitted signals and generates output signals i_2 and q_2 at a second data rate R_2 . The second data rate R_2 of the signals i_2 and q_2 is four times as large as the chip rate R_1 , e.g. $R_2=15.36\text{Mcps}$, and is generated by interpolation of the signal values of i_1 and q_1 by means of the pulse-shaping filter 1. The higher data rate is indexed by k_2 . At the output of the

5 D/A converters, analog signals $i_3(t)$ and $q_3(t)$ are generated.

Fig. 2 shows an exemplary functional block diagram of the interpolation filter 1 with a timing adjustment functionality of the in-phase signal path of Fig. 1. A switching arrangement 3 with a switch S_1 is provided to successively supply three zero data values between each input data sample of i_1 by successively switching the switch S_1 by the higher

10 data rate or clock rate R_2 . This already leads to a fourfold increase in the data rate, i.e. oversampling. Each of the generated higher number of signal values or data samples is multiplied by all N filter coefficients b_v by means of a number N of multipliers 4. The results of multiplication are supplied to a number $N-1$ of adders 5, except for the result of multiplication by the filter coefficient b_{N-1} . Each of the adding results is delayed by one cycle

15 of the data rate R_2 in corresponding delay elements 6 and the delayed results are then sequentially accumulated as shown in Fig. 2, so as to generate the output data stream $i_2(k_2)$.

However, the implementation example shown in Fig. 2 requires a lot of multipliers 4 and adders 5. Therefore, a more efficient way of implementing such an interpolation filter has been proposed.

20 Fig. 3 shows an example of such a modified efficient interpolation filter.

Instead of increasing the data rate of the input signal by introducing zero samples and interpolating or smoothing the obtained higher rate signal by a corresponding filter, the fact is utilized that the incorporated zero samples do not contribute after multiplication with the filter coefficients to the chain of delayed adders 5, so as to reduce the number of multipliers 4

25 and also adders 5. According to Fig. 3, an efficient filter arrangement is shown which requires only a quarter of multipliers 4 and nearly a quarter of adders 5. Instead of using the delay elements with a delay corresponding to a single clock cycle of the second data rate R_2 , new delay elements 8 having a delay time corresponding to four clock cycles of the second data rate R_2 , or as an alternative, a chain connection of four delay elements each with a delay

30 time corresponding to a single clock cycle of the second data rate R_2 can be used.

As a specific amendment in this efficient filter arrangement, the filter coefficients b_v have to be exchanged after each clock cycle of the second data rate R_2 , which is achieved in Fig. 3 by adding a switching function 7, according to which a switch S_1 successively switches to different switching connections "0" to "3" to successively apply four

filter coefficients to a single multiplier 4. This is performed periodically at the second data rate R_2 .
second data rate R_2 .

time →		Input signal																		
		i1(k1)				i1(k1+1)				i1(k1+2)				i1(k1+3)				i1(k1+4)		
0	1	2	3	0	1	2	3	0	1	2	3	0	1	2	3	0	1	2	3	
S1	i1(0) b0	i1(0) b1	i1(0) b2	i1(0) b3	i1(1) b0	i1(1) b1	i1(1) b2	i1(1) b3	i1(2) b0	i1(2) b1	i1(2) b2	i1(2) b3	i1(3) b0	i1(3) b1	i1(3) b2	i1(3) b3	i1(4) b0	i1(4) b1	i1(4) b2	i1(4) b3
Multiplier 1	b4	b5	b6	b7	b4	b5	b6	b7	b4	b5	b6	b7	b4	b5	b6	b7	b4	b5	b6	b7
Multiplier 2	b8	b9	b10	b11	b11	b10	b9	b8												
Multiplier 3	b12	b13	b14	b15	b12	b13	b14	b15	b12	b13	b14	b15	b12	b13	b14	b15	b12	b13	b14	b15
Multiplier 4	b12	b13	b14	b15	b12	b13	b14	b15	b12	b13	b14	b15	b12	b13	b14	b15	b12	b13	b14	b15

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Table 1

The above table 1 shows an example of the efficient interpolation filter shown in Fig. 3 in case a filter with $N = 16$ filter coefficients is used. In table 1, each column corresponds to a clock cycle of the second data rate R_2 , while the values in each column indicate the connection state of the switch S_1 of the switching function 7 and the respective sample values received at the multipliers 4 at the four stages of the interpolation filter. As can be gathered from table 1, the data samples i_1 remain the same within a period of four clock cycles of the second data rate R_2 , which period corresponds to the clock cycle of the first data rate R_1 at the input of the interpolation filter 1. In the first phase, the switch S_1 of the respective switching functions 7 is connected to the switch terminal "0" and thus the input sample or input value $i_1(0)$ is multiplied in parallel with the filter coefficients b_0 , b_4 , b_8 and b_{12} . The multiplication results at the output of the multipliers 4 are then added in the respective adders 5 with the first one of the stored previous values obtained at the output of the respective delay elements 8, and is supplied to the respective other delay elements or, respectively, output as the first output value $i_2(0)$. In the second phase, the same value $i_1(0)$ is multiplied by the filter coefficients b_1 , b_5 , b_9 and b_{13} , when the switch S_1 of the switching function 7 is connected to the switching terminal "1". The multiplication results are added with a respective previous result and supplied to the respective delay elements 8 or, respectively, output as a second output value $i_2(1)$. This continues in the third and fourth phase, and then the next input value $i_1(1)$ is again multiplied by the filter coefficients b_0 , b_4 , b_8 and b_{12} .

In case the data stream is to be delayed by a quarter of a chip clock of the first data rate R_1 , e.g. by a clock cycle of the higher second data rate R_2 , four instead of three zero samples have to be inserted in the filter arrangement of Fig. 2 or, respectively, the switching mechanism of the switching function 7 in the filter arrangement of Fig. 3 for selecting the filter coefficients has to be freezed or stopped by one clock and instead zero samples have to be supplied to the respective multipliers 4. This freezing must be done after the multiplication by the coefficients $b_3, b_7, b_{11}, \dots, b_{N-1}$.

Input signal	time →																			
	H(k1)				H(k1+1)				H(k1+2)				H(k1+3)				H(k1+4)			
S1	0	1	2	3	0	1	2	3	0	1	2	3	0	1	2	3	0	1	2	3
S2	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Multiplier 1	H(0) b0	H(0) b1	H(0) b2	H(0) b3	0 b0	H(1) b1	H(1) b2	H(1) b3	0 b0	H(2) b1	H(2) b2	H(2) b3	0 b0	H(3) b1	H(3) b2	H(3) b3	0 b0	H(4) b1	H(4) b2	H(4) b3
Multiplier 2	H(0) b4	H(0) b5	H(0) b6	H(0) b7	0 b7	H(1) b4	H(1) b5	H(1) b6	0 b7	H(2) b4	H(2) b5	H(2) b6	0 b7	H(3) b4	H(3) b5	H(3) b6	0 b7	H(4) b4	H(4) b5	H(4) b6
Multiplier 3	H(0) b8	H(0) b9	H(0) b10	H(0) b11	0 b11	H(1) b8	H(1) b9	H(1) b10	0 b11	H(2) b8	H(2) b9	H(2) b10	0 b11	H(3) b8	H(3) b9	H(3) b10	0 b11	H(4) b8	H(4) b9	H(4) b10
Multiplier 4	H(0) b12	H(0) b13	H(0) b14	H(0) b15	0 b15	H(1) b12	H(1) b13	H(1) b14	0 b15	H(2) b12	H(2) b13	H(2) b14	0 b15	H(3) b12	H(3) b13	H(3) b14	0 b15	H(4) b12	H(4) b13	H(4) b14

10 Table 2

Table 2 shows an example of such a delay operation by a quarter of the chip clock of the first data rate R_1 in case $N = 16$ filter coefficients are used as in the above example. As can be gathered from table 2, an additional cycle has been inserted or introduced 15 after the fourth cycle of the second clock rate R_2 , wherein a zero data sample is supplied as the input signal during this additional single cycle.

Fig. 4 shows a modification of the efficient filter arrangement in which such a delay function is introduced. This is achieved by adding a second switching function 9 comprising a switch S_2 which can be switched to a first switching terminal “0” and a second 20 switching terminal “1” to which a zero sample or zero data value is applied. After the phase, in which the input signal $i_1(k_1)$ has been multiplied by the filter coefficients b_3, b_7, b_{11} and b_{15} , the second switching function 9 is switched to the second switching terminal “1”, so that for one clock cycle of the higher second data rate R_2 the zero value is again multiplied by the present filter coefficients b_3, b_7, b_{11} and b_{15} due to the fact that the switching operation of the first switching function 7 has been freezed by one cycle. Thereby, zero values are obtained at the output of the respective multipliers 4 and are supplied to the delay elements or delay chains 8. Due to the fact that the switching function 9 switches to terminal “1”, such that a “0” sample is applied to the multipliers 4, any coefficient may be applied to these multipliers 25

4 by the switching function 7. I.e., during the phase when the switching function 9 is switched to terminal “1”, the switching function 7 can be connected to any input terminal.

However, if the data stream is to be accelerated by a quarter of the chip clock of the first data rate R_1 , only two zero values would have to be inserted in the filter

5 arrangement of Fig. 2, while in the filter arrangement of Fig. 3, a multiplication of two successive input values $i_1(k_1)$ and $i_1(k_1+1)$ with respective successive filter coefficients would have to be performed, which is not possible due to the limited number of multipliers 4. If in case of the efficient implementation of Fig. 3 only the multiplication of the first input value 10 $i_1(k_1)$ with the filter coefficients b_3, b_7, b_{11}, b_{15} would be performed but not the multiplication of the second input value $i_1(k_1+1)$ with the filter coefficients b_0, b_4, b_8, b_{12} , a substantial interpolation error could be generated.

It is therefore an object of the present invention to provide a data processing 15 device and an adjusting method, by means of which an input data stream can be accelerated by a fraction of the input clock cycle while maintaining an efficient data processing scheme.

This object is achieved by a data processing device as claimed in claim 1 and by an adjusting method as claimed in claim 8.

Accordingly, a predetermined one of the first data samples is stored, so as to 20 be able to partly reintroduce data samples skipped by the acceleration operation at a later stage by replacing later data samples by new data samples obtained from the stored first data sample. Thus, the fact is utilized that typically the coefficients at the beginning and at the end of an impulse response of a data processing device, such as the interpolation filter, are very small in their value. Instead of omitting or skipping all of the second data samples derived 25 from a predetermined first data sample, predetermined following second data samples are replaced by new data samples derived from the stored first data sample. Thereby, the interpolation error can be reduced significantly, while the circuit arrangement does not require any substantial modifications. Consequently, the efficient implementation of the data processing device can be left fairly unchanged. This applies to any data processing device 30 where an input stream of first data samples is used for generating an output stream of second data samples at a higher second rate by inserting additional data samples generated from the first data samples. Examples of such a data processing device are interpolation filters where an adjustment of the time base by a fraction of the input data rate is required.

In particular, the data processing device may be an interpolation filter in which the second data samples are obtained by a successively multiplying each of the first data samples by a set of filter coefficients, and by adding an obtained result of multiplication at a particular filter stage to a delayed result of the preceding filter stage, wherein the delayed 5 result has been delayed by a delay time corresponding to a time period of the first rate. The skipped first predetermined ones of the second data samples may be skipped at each filter stage and may be derived from a result of multiplication of the stored predetermined one of the first data samples with respective starting ones of the set of filter coefficients, wherein the replaced second predetermined ones of the second data samples are replaced at a 10 predetermined filter stage and are derived from a result of multiplication of a predetermined number of first data samples following the stored predetermined one of the first data samples with the respective starting one of the set of filter coefficients, and wherein the new second data samples are derived from a result of multiplication of the stored predetermined one of the first data samples with the respective starting ones of the set of filter coefficients of other 15 filter stages different from the predetermined filter stage.

First switching means may be provided for supplying the stored predetermined one of the first data samples to a multiplying means of the predetermined stage. Thereby, no additional multiplying means is required for generating the new second data samples.

Furthermore, second switching means may be provided for intermittently 20 supplying the respective starting ones of the set of filter coefficients of the other filter stages and the set of filter coefficients of the predetermined stage to the multiplying means of the predetermined stage. This provides the advantage that the switching means provided at the predetermined stage can also be used for supplying the starting ones of the set of filter coefficients of the other filter stages.

Additionally, third switching means may be provided for successively 25 supplying the set of filter coefficients to multiplying means of the other filter stages. As the filter coefficients are successively supplied by the switching means, the skipping means can be implemented by simply skipping one switching operation of the switching means.

In general, each of the first to third switching means serve to reduce the 30 amount of changes required to the efficient implementation of the data processing device.

Finally, fourth switching means may be provided for connecting an input terminal of the data processing device to a zero data value so as to introduce a predetermined delay to the second data samples. The fourth switching means severs to provide a simple

additional delay functionality for delaying the input data stream by a fraction of the input clock cycle.

5 The present invention will now be described on the basis of a preferred embodiment with reference to the accompanying drawings, in which:

Fig. 1 shows a schematic block diagram of a data processing arrangement in which the present invention can be implemented;

10 Fig. 2 shows a schematic functional diagram of a known arrangement of an interpolation filter;

Fig. 3 shows a schematic functional block diagram of an efficient known arrangement of an interpolation filter;

Fig. 4 shows a schematic functional block diagram of a modification of the efficient filter arrangement with a switchable delay function;

15 Fig. 5 shows a schematic block diagram of a data processing function with an acceleration option according to the preferred embodiment; and

Fig. 6 shows a more detailed implementation of the acceleration option in filter arrangement according to the preferred embodiment.

20 The preferred embodiment will now be described based on the efficient interpolation filter arrangement as initially described.

First of all, the general principal of the acceleration function according to the present invention is described on the basis of a data processing block diagram as shown in
25 Fig. 5.

According to Fig. 5, an input data stream $i_1(k_1)$ of the first data rate R_1 with a chip or data sequence k_1 is supplied to a data processing function 14 with an oversampling processing for generating a data stream $i_2(k_2)$ of the second data rate R_2 with a chip or data sequence k_2 .

30 The generated data stream $i_2(k_2)$ has the higher data rate R_2 due to the oversampling processing. According to the preferred embodiment, a memory function 12 is provided for storing a predetermined input data sample $i_1(k_1=k_{rem})$ to be supplied at a later processing stage to a replacing function 18 where predetermined ones of the generated higher-rate data samples are replaced by new data samples derived from the stored

predetermined input data sample $i_1(k_1=k_{\text{rem}})$. The higher-rate data samples of the output data stream $i_2(k_2)$ are supplied to a skipping function 16 at which predetermined ones of the generated higher-rate data samples are skipped in order to accelerate the timing of the data stream by a fraction of the input clock cycle of the input data stream $i_1(k_1)$. The modified 5 data stream is supplied to the replacing function 18 where other ones of the data samples following the skipped data samples are replaced by the new data samples derived from the stored predetermined one of the low-rate input data samples.

In an optional parallel data path, the high-rate data samples are supplied to an insertion function 20 where zero data values are inserted at predetermined positions so as to 10 generate a delayed or decelerated timing of the data stream. The output streams of the replacing function 18 or the insertion function 20 can be selectively switched to an output of the processing device, at which correspondingly time adjusted data streams can be provided and supplied to an interpolation function for converting the high-rate data stream to a time-adjusted low-rate data stream of a data rate corresponding to the first data rate R_1 .

Hence, acceleration of the timing or clock can be achieved by combined 15 skipping and replacing operation, wherein the replacing operation is adapted to reduce the interpolation error caused by the skipped data values.

Fig. 6 shows a more detailed implementation of the skipping and replacing operation based on the effective filter arrangement of Fig. 3.

As shown in Fig. 6, a memory function 10 is provided for storing the predetermined input data value $i_1(k_1=k_{\text{rem}})$, and two additional switching functions 11, 12 are provided for selectively switching the stored predetermined input data value to the multiplier of the last filter stage and for modifying the switching of the filter coefficients b_0 to b_3 so as to be capable of selectively providing additional filter coefficients b_4, b_8, \dots, b_{N-4} which are to 25 be multiplied with the predetermined input data value stored in the memory function 10.

Input signal	time →																		
	i1(k1)				i1(k1+1)				i1(k1+2)				i1(k1+3)				i1(k1+4)		
S1	0	1	2	3	1	2	3	0	1	2	3	0	1	2	3	0	1	2	3
S2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
S3	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0	1	0	0	0
S4	0	1	2	3	1	2	3	4	1	2	3	5	1	2	3	6	1	2	3
Multiplier 1	i1(0) b0	i1(0) b1	i1(0) b2	i1(0) b3	i1(1) b1	i1(1) b2	i1(1) b3	i1(1) b4	i1(2) b1	i1(2) b2	i1(2) b3	i1(1) b8	i1(3) b1	i1(3) b2	i1(3) b3	i1(1) b12	i1(4) b1	i1(4) b2	i1(4) b3
Multiplier 2	i1(0) b4	i1(0) b5	i1(0) b6	i1(0) b7	i1(1) b5	i1(1) b6	i1(1) b7	i1(2) b4	i1(2) b5	i1(2) b6	i1(2) b7	i1(3) b4	i1(3) b5	i1(3) b6	i1(3) b7	i1(4) b4	i1(4) b5	i1(4) b6	i1(4) b7
Multiplier 3	i1(0) b8	i1(0) b9	i1(0) b10	i1(0) b11	i1(1) b9	i1(1) b10	i1(1) b11	i1(2) b8	i1(2) b9	i1(2) b10	i1(2) b11	i1(3) b8	i1(3) b9	i1(3) b10	i1(3) b11	i1(4) b8	i1(4) b9	i1(4) b10	i1(4) b11
Multiplier 4	i1(0) b12	i1(0) b13	i1(0) b14	i1(0) b15	i1(1) b13	i1(1) b14	i1(1) b15	i1(2) b12	i1(2) b13	i1(2) b14	i1(2) b15	i1(3) b12	i1(3) b13	i1(3) b14	i1(3) b15	i1(4) b12	i1(4) b13	i1(4) b14	i1(4) b15

Table 3

As can be gathered from table 3, an acceleration of the timing is achieved by 5 first omitting the data values corresponding to the first cycle of the second input data value $i_1(k_1+1)$. This can be achieved by having skipped the first switching terminal “0” of the first switching function 7. This means, that the switch S_1 of the first switching function 7 proceeds from the last switching terminal “3” directly to the second switching terminal “1” in response to a command or control signal indicating initiation of the acceleration function. Thus, the 10 results of multiplication of the data value $i_1(k_1+1)$ by the filter coefficient b_0, b_4, \dots, b_{N-4} are omitted and the respective input data value $i_1(k_1+1)$ is stored in the memory function 10. To alleviate or reduce the interpolation error caused by this omission, the multiplication function at the last filter stage is temporarily modified by supplying the stored input data value 15 $i_1(k_1+1)$ which corresponds to the value $i_1(1)$ of table 3 to the multiplier 4 of the last filter stage at the first one of the four higher-rate data cycles for $N/4-1$ following input data values. This is indicated in table 3 by the row corresponding to a switch S_3 of the first additional switching function 11. Additionally, as can be gathered from the row corresponding to a 20 switch S_4 of the second additional switching function 12, the conventional switching cycle is interrupted and the additional switching terminals b_4, b_8, \dots, b_{N-4} are intermittently connected to the multiplier 4 each time the switch S_3 of the first additional switching function 11 connects to the output of the memory function 10. Thereby, the omitted multiplication results of the skipped data cycle are successively and intermittently inserted instead of the normal 25 multiplication results occurring in the last filter stage at these cycles. Due to the distribution of the omitted multiplication results over predetermined following cycles, the interpolation error can be reduced. This advantage can be achieved at the expense of providing the

additional first and second switching functions 11, 12 and the additional memory function 10 which, however, do not require any substantial modification. As a main advantage, the number of multipliers does not have to be changed.

It is to be noted that the elements of the functional diagram of Fig. 6 can be
5 implemented on a software basis in a data processing device or on a hardware basis by discrete switching, arithmetic and delay elements, or even by a combination of hardware and software functions. The main advantage of the present invention results in the reduced interpolation error and the kept efficient implementation of the interpolation filter with its reduced number of multipliers.

10 Furthermore, it is to be noted that the present invention is not restricted to the above preferred embodiment but can be applied in any interpolation filter or data processing device, where an oversampling takes place and where the time base has to be adjusted at a fraction of the input rate, i.e. by a time period corresponding to a cycle of the higher oversampling rate. In particular, the interpolation factor is not limited to four. It can be any
15 integer number larger or equal to 2. Moreover, the skipping of data samples may be performed at any other suitable cycle, wherein any number of data samples can be replaced at any position suitable to reduce the interpolation error. If the omitted multiplication results of the skipped data cycle are inserted in another one than the last right filter stage, the number of omitted multiplication results which can be inserted is reduced by one with each change to a
20 left neighboring filter stage. However, such a change is possible in principle. The preferred embodiments may thus vary within the scope of the attached claims.